# UNIQUENESS OF THE FIXED POINTS OF SINGLE–STEP OPERATORS DETERMINED BY BELNAP'S<sup>1</sup> FOUR–VALUED LOGIC

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Recently, Hitzler and Seda showed how a domain-theoretic proof can be given of the fact that, for a locally hierarchical program, the single-step operator  $T_P$ , defined in two-valued logic, has a unique fixed point. Their approach employed a construction which turned a Scott-Ershov domain into a generalized ultrametric space. Finally, a fixed-point theorem of Priess-Crampe and Ribenboim was applied to  $T_P$  to establish the result. In this paper, we extend these methods and results to the corresponding well-known single-step operators  $\Phi_P$  and  $\Psi_P$  determined by P and defined, respectively, in three-valued and four-valued logics.

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## **1 INTRODUCTION**

A common approach to giving meaning or "semantics" to programming language constructs is to assign an operator to the construct and look for its fixed points. In this approach, one often finds that the operator in question is monotonic and defined on a complete lattice or complete partial order (cpo), so that the well-known Knaster-Tarski theorem can be applied to obtain the required fixed points. However, in the case of logic programs P, see [9], the presence of negation (which enhances syntax and expressibility) leads to non-monotonicity of the usual single-step operator  $T_P$  associated with P and hence to inapplicability of the Knaster-Tarski theorem.

Various ways of overcoming this problem have been proposed in the literature, including the use of analytical and topological methods, see for example [12] and its references. Another approach, see [3,4], is to consider other operators such as  $\Phi_P$  and  $\Psi_P$  defined in three-valued and four-valued logics. In particular, Fitting in [3,4] has drawn attention to an operator  $\Psi_P$  defined on the space  $I_{P,4}$  of  $\mathcal{F}OUR$ -valued interpretations, or valuations, of the underlying first order language  $\mathcal{L}$  of P, where  $\mathcal{F}OUR$ denotes the four-valued logic due to Belnap [1] as employed by Fitting in [4]. Indeed,  $\mathcal{F}OUR$  includes conventional two-valued and Kleene's strong three-valued logic, and others, as sublogics. In fact,  $I_{P,4}$  carries two natural orderings  $\leq_k$  and  $\leq_t$ . Under the first of these,  $\Psi_P$  extends the operator  $\Phi_P$  defined over Kleene's strong threevalued logic and is monotonic; under the second,  $\Psi_P$  extends the operator  $T_P$  defined over two-valued logic, and is only monotonic when P is definite (does not contain negation). Thus, any result about  $\Psi_P$  pertains to  $T_P$  and  $\Phi_P$  so that  $I_{P,4}$  and  $\Psi_P$  provide a very convenient setting to study logic programming semantics in great generality.

The foregoing remarks raise several questions concerning the fixed points of  $T_P$ ,  $\Phi_P$ ,  $\Psi_P$  and their interaction. Many of these questions have been pursued in [4], see also the references there, and they will be discussed briefly here in Section 2. The tool usually employed to obtain fixed points is the Knaster-Tarski theorem or variants of it based on order-theoretic arguments. Such theorems do not provide conditions under which one has uniqueness of fixed points, and indeed fixed points need not be unique in general. Nevertheless, this question of uniqueness is interesting because it is closely related to coincidence of various standard models of programs as shown in [8], and this point is also discussed in Section 2. In [6,12], the issue of uniqueness was taken up and solved in the case of the operator  $T_P$  for the class of locally hierarchical programs, see [2], by methods entirely different from those employed in [2]. In fact, it was done by showing that Scott-Ershov domains, familiar in programming language semantics, can be turned into generalized ultrametric spaces in the sense of [10] and by then applying a fixed-point theorem to be found in [10]. In this paper, our main objective is to show how the approach of [6,12] can be extended to the operators  $\Psi_P$  and  $\Phi_P$  in the context of the logic  $\mathcal{F}OUR$ . Indeed, our approach extends very generally to any manyvalued logic whose associated space of valuations forms a domain under the construction we give later, see Theorem 3.4. We will confine our attention here to  $\Psi_P$  but we obtain, as a corollary, the fact that our results apply to  $\Phi_P$  also and to  $T_P$  (trivially) in view of our earlier remarks.

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<sup>&</sup>lt;sup>1</sup> In fact, we are working with a slight variant of Belnap's logic, due to Melvin Fitting.

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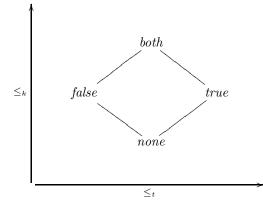
### 2 FOUR-VALUED INTERPRETATIONS

The logic  $\mathcal{F}OUR$  has the four truth values true (t), false (f), none (n), and both (b). The first two of these are the familiar truth values of two-valued logic. The third truth value none (or underdefined) is found in Kleene's strong (and weak) three-valued logic (as *undefined*), and is the truth value given to something about which we have no information; it is also used in computation to represent non-termination. The fourth truth value both (or overdefined) can be thought of as the truth value given to something which we have been told is both *true* and *false*. Belnap in [1] offers some interesting motivation for this logic. He sees it as a means of dealing with a situation where a computer is relying on two different human operators, which may contradict each other. Fitting in [4] argues that it is an appropriate logic for handling conflicting information in distributed computing systems. In [14], Visser shows how this logic can be used as a means of investigating paradoxes such as that of the Liar.

Following [4], we note that  $\neg t = f$ ;  $\neg f = t$ ,  $\neg n = n$ and  $\neg b = b$ . Furthermore, we define the operations  $\land$ and  $\lor$  by means of the following truth tables:

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$\wedge$	n	f	t	b		$\vee$	n	f	t
n	n	f	n	f		n	n	n	t
f	f	f	f	f		f	n	f	1
t	n	f	t	b	Γ	t	t	t	t
b	f	f	b	b		b	t	b	t

We impose ordered structures on  $\mathcal{F}OUR$  by defining the truth ordering  $\leq_t$  and the knowledge ordering  $\leq_k$  as in the Hasse diagram below.



It is an interesting and important fact that  $\mathcal{F}OUR$  is a complete lattice in each of these orderings, and hence is a complete bilattice, see [4]. In the truth ordering, the bottom element is *false* and the top element is *true*. In the knowledge ordering, the bottom element is *none* and the top element is *both*. We note that negation is the left-right inversion of the Hasse diagram above. Further details of this are to be found in [4].

These orderings, and indeed any partial order on  $\mathcal{F}OUR$ , immediately extend to the set I(X) of all functions I from any set X into  $\mathcal{F}OUR$  when ordered pointwise by:  $I_1 \leq I_2$  iff  $I_1(A) \leq I_2(A)$  for all  $A \in X$ , where  $\leq$  denotes either of the orderings  $\leq_k$  and  $\leq_t$ , and we

note that our usage of the symbol  $\leq$  to order both truth values and functions should not cause any confusion. Indeed, the bottom resp. top element of I(X) is simply the function identically equal to the bottom resp. top element of  $\mathcal{F}OUR$  in the ordering  $\leq$  on  $\mathcal{F}OUR$ . Moreover, given any family  $M = \{I_k; k \in K\}$  of elements of I(X), whether directed or not, the supremum  $\sqcup M$  exists and is given by  $\sqcup M(A) = \sqcup_{k \in K} I_k(A)$  for all  $A \in X$ . Similarly, the infimum  $\sqcap M$  of M is given by  $\sqcap M(A) = \sqcap_{k \in K} I_k(A)$ for all  $A \in X$ .

Now let P denote a normal logic program whose underlying first order language is  $\mathcal{L}$ ; we refer to [9] for notation and basic facts concerning logic programming. Thus, P consists of a finite set of clauses of the form  $A \leftarrow L_1, \ldots, L_n$ , where A is an atomic formula, called the *head* of the clause, and  $L_1, \ldots, L_n$  denotes a conjunction of literals  $L_i$  (atoms or negated atoms) called the *body* of the clause. In other words, a typical clause in P is of the form  $A \leftarrow A_1, \ldots, A_{n_1}, \neg B_1, \ldots, \neg B_{n_2}$ . It will be convenient to add two atoms *false* and *true* to  $\mathcal{L}$ . We let  $B_P$  denote the Herbrand base of P, that is, the set of all ground (or variable free) atoms formed from the symbols in  $\mathcal{L}$ . Taking  $X = B_P$  in the previous paragraph, the set  $I(B_P)$  is precisely the set of all  $\mathcal{F}OUR$ -valued valuations or interpretations in the usual sense of mathematical logic, where we always assume that I(false) = false and I(true) = true for any interpretation I. In future, we will denote the set  $I(B_P)$  by  $I_{P,4}$  and we note that it is a complete bilattice under the operations defined earlier.

In order to define the operators we want, we need first to define two sets  $P^*$  and  $P^{**}$  associated with P. To define  $P^*$ : first, put in  $P^*$  all ground instances of members of P; second, if a clause  $A \leftarrow$ with empty body occurs in  $P^*$ , replace it with  $A \leftarrow true$ ; finally, if the ground atom A is not yet the head of any member of  $P^*$ , add  $A \leftarrow \mathit{false}$  to  $P^*$ . To define  $P^{**}$ : first, in  $P^*$  replace each ground clause  $A \leftarrow L_1, \ldots, L_n$  with  $A \leftarrow L_1 \wedge \ldots \wedge L_n$ . Next, if there are several clauses in the resulting set having the same head,  $A \leftarrow C_1$ ,  $A \leftarrow C_2, \ldots$ , replace them with  $A \leftarrow C_1 \lor C_2 \lor \ldots$ . Since there could be infinitely many members in  $P^*$  with the same head, we may have a countable disjunction at this point, but this is semantically unproblematic. We note that each ground atom A is the *head* of exactly one element  $A \leftarrow C_1 \lor C_2 \lor \dots$  of  $P^{**}$ .

Following [4], we are now in a position to define the operator  $\Psi_P$ .

**Definition 2.1.** Let P be a normal logic program. We define the operator  $\Psi_P \colon I_{P,4} \to I_{P,4}$  as follows. For any  $I \in I_{P,4}$  and  $A \in B_P$ , we set

$$\Psi_P(I)(A) = I(C_1 \lor C_2 \lor \dots),$$

where  $A \leftarrow C_1 \lor C_2 \lor \ldots$  is the unique element of  $P^{**}$  whose head is A.

If we restrict attention to the truth values *true*, *false* and *none*, we obtain the conventional three-valued operator  $\Phi_P$ , see [3,4], from this definition. If we further

restrict to the truth values *true* and *false*, we obtain the two-valued operator  $T_P$ . In fact, as noted in [4], the form of the definition of  $\Psi_P$  just given suggests great generalization of such operators to any logic on any set of interpretations, even to the context of uncertain reasoning systems. However, this point of view combined with that of the current paper will be considered elsewhere. Indeed, by considering different definitions of conjunction and disjunction, it was shown in [5] how one may characterize different classes of programs by means of the operator  $\Phi_P$ .

Next, we note that  $\Psi_P$  is monotonic for all programs P with respect to the  $\leq_k$  ordering, and this important fact led Fitting [3] to his well-known treatment of negation using  $\Phi_P$ . Moreover,  $\Psi_P$  is also monotonic with respect to the  $\leq_t$  ordering for definite programs. Thus, using the Knaster-Tarski theorem, one always obtains least  $(v_k)$  and greatest  $(V_k)$  fixed points of  $\Psi_P$  relative to  $\leq_k$ , and, for definite programs, least  $(v_t)$  and greatest  $(V_t)$ fixed points of  $\Psi_p$  (and hence of  $T_P$ ) relative to  $\leq_t$ . All these fixed points are different, in general. However,  $v_k, v_t$  and  $V_t$  are closely related, see [4, Proposition 15]. Indeed, as shown in [4], there are great advantages obtained by working in the bilattice  $\mathcal{F}OUR$ . Not only does one have a unified framework in which to study the two standard approaches to negation, but also, amongst other things, the interconnections between the fixed points can be stated in simple and elegant (algebraic) fashion. Furthermore,  $v_t$  and  $V_t$  play a fundamental role in logic programming semantics: the former being the least Herbrand model of a definite program; the latter, also a model of P, being fundamental in treatments of the completeness of SLDNF-resolution, see [2,9]. Of course, if  $\Psi_P$  has a unique fixed point, then all these fixed points coincide (with the well-known Clark-completion semantics) and this fact simplifies much of the analysis. There is another reason, also, why this situation is important, as follows.

One issue which is not addressed when applying the Knaster-Tarski theorem, and indeed cannot be, is the uniqueness (or otherwise) of the fixed points it provides (the Knaster-Tarski theorem says nothing about uniqueness). As already noted, classes of programs P for which  $T_P$  and  $\Phi_P$  have unique respectively unique total fixed points are interesting. Indeed, using the operator  $\Phi_P$ (and a variant of it) such classes were defined in [5,7,8] in a quite natural way. These classes were shown in [5,7,8]not only to be computationally adequate (can compute all partial recursive functions), but to be semantically unambiguous as well in that for each program in them the stable, well-founded, and weakly perfect models all coincide. They therefore provide an interesting framework within which to do logic programming, since one has simultaneously available within them both full computational power and a well-defined semantics which is the same in a number of the current, fashionable ways of viewing nonmonotonic reasoning. Thus, fixed-point theorems which supply uniqueness criteria have an important role in logic programming. One such is the theorem of Priess-Crampe and Ribenboim [10] which has found application in [10] to the operator  $T_P$  in discussing some specific examples considered in [11], see also [6] for applications of the multivalued version to disjunctive databases. Our intention here is to show how the Priess-Crampe and Ribenboim theorem can be applied, in conjunction with elementary domain theory, to the operator  $\Psi_P$ , and hence to  $\Phi_P$ , for certain programs, and we proceed to do this next.

## 3 I<sub>P,4</sub> AS A DOMAIN AND AS AN ULTRAMETRIC SPACE

Let  $(D, \sqsubseteq)$  denote a partially ordered set, or poset.

**Definition 3.1.** (1) A subset M of D is said to be *directed* if every finite subset of M has an upper bound in M (equivalently, if every pair of elements of M has an upper bound in M).

(2) We call  $(D, \sqsubseteq)$  a complete partial order (cpo) if it has a bottom element  $\bot$  and the supremum  $\sqcup M$  of M exists in D for all directed subsets M of D.

(3) An element  $x \in D$  is called *compact* iff whenever M is a directed subset of D and  $x \sqsubseteq \sqcup M$ , there exists  $y \in M$ such that  $x \sqsubseteq y$ . We denote by  $D_C$  the set of compact elements of D.

(4) A subset A of D is called *consistent* if there exists  $x \in D$  such that  $a \sqsubseteq x$  for all  $a \in A$ . In particular, the set  $\{a, b\} \subseteq D$  is *consistent* if there exists  $x \in D$  such that  $a \sqsubseteq x$  and  $b \sqsubseteq x$ .

**Definition 3.2.** Let  $(D, \sqsubseteq)$  be a poset, and let  $D_C$  denote its set of compact elements. Then  $(D, \sqsubseteq)$  is called a *Scott-Ershov domain* or simply a *domain*, see [13], if the following conditions hold:

(1)  $(D, \sqsubseteq)$  is a cpo.

(2) For each  $x \in D$ , the set  $approx(x) = \{a \in D_C; a \sqsubseteq x\}$  is directed and  $x = \sqcup approx(x)$  (called the *algebraicity* of D).

(3) If  $A \subseteq D$  is consistent, then  $\sqcup A$  exists in D (called the *consistent completeness* of D).

**Definition 3.3.** Let  $\leq$  denote a partial order on  $\mathcal{F}OUR$ in which  $\mathcal{F}OUR$  is a complete lattice with bottom element  $\perp$ . Then  $I \in I_{P,4}$  is called *finite* if the set  $\{A \in B_P; I(A) \neq \perp\}$  is finite. In particular, we define the finite interpretation  $I_{\perp}$  by  $I_{\perp}(A) = \perp$  for all  $A \in B_P$ .

**Theorem 3.4.** Let  $\leq$  denote a partial order on  $\mathcal{F}OUR$ in which  $\mathcal{F}OUR$  is a complete lattice with bottom element  $\perp$ . Then  $(I_{P,4}, \leq)$  is a domain whose bottom element is  $I_{\perp}$  and whose compact elements are the finite interpretations.

Proof. First, because  $(I_{P,4}, \leq)$  is a complete lattice, it is immediate that it is a cpo with bottom element  $I_{\perp}$  and also that it is consistently complete.

Next, we show that any finite interpretation is a compact element. Suppose that I is a finite interpretation and let  $I^* = \{A \in B_P; I(A) \neq \bot\}$ . Then  $I^*$  is a finite set,  $I^* = \{A_1, \ldots, A_n\}$ , say. Suppose  $M = \{I_k; k \in K\}$ is a directed subset of  $I_{P,4}$  such that  $I \leq \sqcup M$ . Thus,  $I(A) \leq \sqcup_{k \in K} I_k(A)$  for all  $A \in B_P$ . Then, using the directedness of M, there is, for each  $i = 1, \ldots, n$ ,  $I_{k_i} \in M$  such that  $I(A_i) \leq I_{k_i}(A_i)$ . Since  $\{I_{k_i}; i = 1, \ldots, n\}$  is finite, and using again the fact that M is directed, there exists  $J \in M$  such that  $I_{k_i} \leq J$  for  $i = 1, \ldots, n$ . But then  $I \leq J$  as required and therefore I is a compact element of  $I_{P,4}$ .

Conversely, we show that the compact elements of  $(I_{P,4}, \leq)$  are the finite interpretations. Let M be the set of all finite interpretations. Then M is directed. To see this, let  $I_1, I_2 \in M$ . Define  $I_3$  by  $I_3(A) =$  $\sqcup \{I_1(A), I_2(A)\}$  for all  $A \in B_P$ . Then  $I_1 \leq I_3$  and  $I_2 \leq I_3$  and clearly  $I_3$  is a finite interpretation. Thus,  $I_3 \in M$  also. Hence, M is a directed subset of  $I_{P,4}$ . Now suppose that I is a compact element of  $I_{P,4}$ . Then trivially we have  $I \leq \sqcup M$ , since  $\sqcup M$  is the interpretation whose value on all elements of  $B_P$  is equal to the top element of  $\mathcal{F}OUR$  in the given ordering on  $\mathcal{F}OUR$ . Thus, by directedness of M and the compactness of I, there exists  $J \in M$  such that  $I \leq J$ . Since J is a finite interpretation, it follows that I is finite also. Therefore, the compact elements of  $(I_{P,4}, \leq)$  are finite interpretations and indeed we now see that the compact elements of  $(I_{P,4}, \leq)$  are precisely the finite interpretations.

We show next that for any  $I \in I_{P,4}$ , approx(I) is directed. Let  $I_1, I_2 \in \operatorname{approx}(I)$ . Then  $I_1$  and  $I_2$  are finite interpretations with  $I_1 \leq I$  and  $I_2 \leq I$ . Again, define  $I_3$  by  $I_3(A) = \bigcup \{I_1(A), I_2(A)\}$  for all  $A \in B_P$ . Then by definition of supremum, we have  $I_1 \leq I_3 \leq I$ and  $I_2 \leq I_3 \leq I$ , and of course  $I_3$  is finite. Thus,  $I_3 \in \operatorname{approx}(I)$ , and so  $\operatorname{approx}(I)$  is directed. Therefore,  $\operatorname{approx}(I)$  is directed for any  $I \in I_{P,4}$ .

Finally, we show that for any  $I \in I_{P,4}$ , we have  $I = \sqcup \operatorname{approx}(I)$ . Clearly, by definition of  $\operatorname{approx}(I)$  and of supremum, we have that  $\sqcup \operatorname{approx}(I) \leq I$ . Let  $A \in B_P$ . Define  $I_A \in I_{P,4}$  by  $I_A(A) = I(A)$ , and  $I_A(B) = \bot$  for all  $B \neq A$ . Then clearly  $I_A \in \operatorname{approx}(I)$ . Also, for all  $A \in B_P$  we have  $I(A) = I_A(A) \leq \sqcup \operatorname{approx}(I)(A)$ . Thus,  $I \leq \sqcup \operatorname{approx}(I)$  and it follows that  $I = \sqcup \operatorname{approx}(I)$ , as required, and the proof is complete.

Of course we obtain, as corollaries of this result, that  $I_{P,4}$  is a domain in both of the two orderings we have been considering on  $\mathcal{F}OUR$ .

We now turn our attention to generalized ultrametric spaces.

**Definition 3.5.** Let X be a set and let  $\Gamma$  be a partially ordered set with least element 0. The pair (X, d) is called a *generalized ultrametric space* (gum) or simply an *ultrametic space* if  $d: X \times X \to \Gamma$  is a function satisfying the following conditions for all  $x, y, z \in X$  and  $\gamma \in \Gamma$ : (1) d(x, y) = 0 if and only if x = y. (2) d(x, y) = d(y, x).

(3) If  $d(x,y) \leq \gamma$  and  $d(y,z) \leq \gamma$ , then  $d(x,z) \leq \gamma$ .

**Definition 3.6.** For  $0 \neq \gamma \in \Gamma$  and  $x \in X$ , the set  $B_{\gamma}(x) = \{y \in X; d(x, y) \leq \gamma\}$  is called a  $\gamma$ -ball or simply a ball in X with centre x and radius  $\gamma$ .

**Definition 3.7.** An ultrametric space X is called *spher*ically complete if  $\cap C \neq \emptyset$  for any chain C of balls in X (a chain of balls is a set of balls which is totally ordered by inclusion).

This brings us to an important theorem of Priess-Crampe and Ribenboim, see [10], which we state in a reduced form sufficient for our present purposes.

**Theorem 3.8.** Let (X, d) be a spherically complete ultrametric space and let  $f: X \to X$  be strictly contracting in the sense that d(f(x), f(y)) < d(x, y) for all  $x, y \in X$  with  $x \neq y$ . Then f has a unique fixed-point.

It is our intention to apply this theorem to  $\Psi_P$ . To do this, we first give a general construction which turns a domain, and  $I_{P,4}$  in particular, into a generalized ultrametric space.

Let  $\gamma$  denote an arbitrary countable ordinal, and let  $\Gamma_{\gamma}$  denote the set  $\{2^{-\alpha}; \alpha \leq \gamma\}$  of symbols  $2^{-\alpha}$  ordered by  $2^{-\alpha} < 2^{-\beta}$  if and only if  $\beta < \alpha$ , and denote  $2^{-\gamma}$  by 0. Thus,  $\Gamma_{\gamma}$  is essentially  $\gamma + 1$  endowed with the reverse order, but for historical reasons we prefer to work with the set  $\Gamma_{\gamma}$ , see [6]. Now let  $(D, \sqsubseteq)$  be a domain, with set  $D_C$  of compact elements.

**Definition 3.9.** Let  $r: D_C \to \gamma$  be a function, called a rank function, and form  $\Gamma_{\gamma}$ . We define the distance function  $d_r: D \times D \to \Gamma_{\gamma}$  by  $d_r(x, y) = \inf\{2^{-\alpha}; \text{ for} every \ c \in D_C \text{ with } r(c) < \alpha \text{ we have } c \sqsubseteq x \text{ if and only} \text{ if } c \sqsubseteq y\}.$ 

It turns out that  $d_r$  is an ultrametric which is said to be *induced by r*, see [6,12]. In fact, the following theorem was established in [6,12].

**Theorem 3.10.** The ultrametric space  $(D, d_r)$  is spherically complete.

## 4 UNIQUE FIXED POINTS OF $\Psi_P$

Suppose now that P is a normal logic program. A *level mapping* for P is a mapping  $l: B_P \to \gamma$ , where  $\gamma$ is a countable ordinal (not necessarily the first infinite ordinal). Fix an ordering  $\leq$ , such as  $\leq_k$  or  $\leq_t$ , in which  $\mathcal{F}OUR$  is a complete lattice with bottom element  $\bot$ ; then  $I_{P,4}$  is also a complete lattice. By Theorem 3.4,  $I_{P,4}$  is a domain whose compact elements are the finite interpretations. Define the rank function  $r_l$  induced by l as follows: we put  $r_l(I_{\perp}) = 0$  and, for every finite interpretation  $I \neq I_{\perp}$ , we set  $r_l(I) = \max\{l(A); A \in B_P \text{ and } I(A) \neq I_{\perp}\}$  $\perp$ }. We denote by  $d_l$  the ultrametric resulting from  $r_l$  in accordance with Definition 3.9. Indeed, it is easy to see that  $d_l$  has a simpler, equivalent definition, as follows: if  $I_1 = I_2$ , then  $d_l(I_1, I_2) = 0$ ; otherwise  $d_l(I_1, I_2) = 2^{-\alpha}$ , where  $I_1$  and  $I_2$  differ (i.e.  $I_1(B) \neq I_2(B)$ ) on some ground atom B with  $l(B) = \alpha \leq \gamma$  but agree (i.e.  $I_1(A) = I_2(A)$  on all ground atoms A of lower level.

Level mappings have proved to be important in logic programming in a number of contexts including studies concerned with termination and completeness. One of their main uses is the provision of syntactic conditions which identify tractable classes of programs by prohibiting "negation through recursion", that is, by preventing an atom occurring in the head of a clause and simultaneously occurring negated in its body. This is illustrated by the following definition. Suppose  $A \leftarrow A_1, \ldots, A_{n_1}, \neg B_1, \ldots, \neg B_{n_2}$  is a typical ground instance of a clause in P, where  $n_1, n_2 \ge 0$ . We call P locally stratified (with respect to l) if the inequalities  $l(A) \ge l(A_i)$  and  $l(A) > l(B_j)$  hold for all i and j for each clause, and we call P locally hierarchical (with respect to l) if the inequalities  $l(A) > l(A_i), l(B_j)$  hold for all i and j for each clause. Both of the classes defined here have turned out to be important in logic programming.

Our main theorem is the following result which is an extension to  $\Psi_P$  of an earlier result established in [2,6,12] for  $T_P$ .

**Theorem 4.1.** Let P be a normal logic program which is locally hierarchical with respect to a level mapping l. Then  $\Psi_P$  is strictly contracting with respect to  $d_l$  and hence has a unique fixed point.

Proof. Let  $I_1, I_2 \in I_{P,4}$  be such that  $d_l(I_1, I_2) = 2^{-\alpha}$ . There are two cases to consider.

**Case 1:**  $\alpha = 0$ . In this case,  $I_1$  and  $I_2$  differ on some ground atom of level 0. Let  $A \in B_P$  be arbitrary with l(A) = 0. Consider  $\Psi_P(I_1)$  and  $\Psi_P(I_2)$ . By the hypothesis on P and the fact that l(A) = 0, the element  $A \leftarrow C_1 \lor C_2 \ldots$  in  $P^{**}$  with A in its head must either be of the form  $A \leftarrow true$  or  $A \leftarrow false$ . But  $I_1(true) = I_2(true) = t$  and  $I_1(false) = I_2(false) = f$ . Thus, we either have  $\Psi_P(I_1)(A) = I_1(true) = I_2(true) = \Psi_P(I_2)(A)$  or we have  $\Psi_P(I_1)(A) = I_1(false) = I_2(false) = \Psi_P(I_2)(A)$ . Hence,  $\Psi_P(I_1)$  and  $\Psi_P(I_2)$  agree on all ground atoms of level 0, and it follows that  $d_l(\Psi_P(I_1), \Psi_P(I_2)) < 2^{-0} = d_l(I_1, I_2)$ .

Case 2:  $\alpha > 0$ . In this case,  $I_1$  and  $I_2$  differ on some ground atom of level  $\alpha$ , but agree on all ground atoms [11] of lower level. Let  $A \in B_P$  with  $l(A) \leq \alpha$ . Consider [12] the unique element  $A \leftarrow C_1 \lor C_2 \lor \ldots$  in  $P^{**}$  with Aas its head. Since P is locally hierarchical, each atom occurring in each clause body  $C_i$  has level strictly less than  $\alpha$ . Therefore,  $I_1(C_1 \lor C_2 \lor \ldots) = I_2(C_1 \lor C_2 \lor \ldots)$ , by our hypothesis. Hence,  $\Psi_P(I_1)(A) = I_1(C_1 \lor C_2 \lor$  $\ldots) = I_2(C_1 \lor C_2 \lor \ldots) = \Psi_P(I_2)(A)$ . Thus,  $\Psi_P(I_1)$  and  $\Psi_P(I_2)$  agree on all ground atoms of level  $\leq \alpha$ . Hence,  $d_l(\Psi_P(I_1), \Psi_P(I_2)) < 2^{-\alpha} = d_l(I_1, I_2)$ . [14]

Since cases 1 and 2 cover all possibilities, we see that  $\Psi_P$  is strictly contracting with respect to  $d_l$ . Finally,  $(I_{P,4}, d_l)$  is spherically complete by Theorem 3.10, and thus the required second conclusion follows from Theorem 3.8.

It follows from our earlier remarks that under the hypothesis of the previous theorem, both  $T_P$  and  $\Phi_P$  are strictly contracting and hence also have unique fixed points. Indeed, the first of these comments was established in [6,12], as already observed, and it was this fact that led to the present extension to  $\Phi_P$  and  $\Psi_P$ . Finally,

we note that certain of these ideas have been generalized in another direction in [7].

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