

ROBUST CONTROLLER DESIGN: POLYNOMIALLY PARAMETER DEPENDENT LYAPUNOV FUNCTION APPROACH

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The paper addresses the problem of robust output feedback controller design with guaranteed cost and polynomially parameter-dependent quadratic stability for linear continuous-time and discrete-time polytopic systems. The proposed design method pursues the idea given by Ebihara *et al*, 2006. Numerical examples are given to illustrate the effectiveness of the proposed method.

Key words: affine linear systems, polynomially parameter-dependent quadratic stability, robust controller, output feedback

1 INTRODUCTION

The robust stability analysis and robust controller design for linear systems subject to time-invariant uncertainties have attracted considerable attention in robust control theory. Undoubtedly, the Lyapunov theory is one of the main approaches to deal with this problem. Description of uncertain linear time-invariant systems using convex polytope-type uncertainty has found its natural framework in the linear matrix inequality (LMI) Boyd *et al* [3]. The first LMI stability analysis and robust controller design has been based upon the notion of quadratic stability. To reduce quadratic stability conservatism in the robust controller design procedure the parameter dependent Lyapunov function (PDLF) has been introduced (Apkarian *et al* [1], de Oliveira *et al* [11, 12], Henrion *et al* [9], Peaucelle *et al* [14] and others). In the existing studies, however, the PDLFs mostly employed are restricted to those affine in the uncertain parameters. To get around the conservatism arising from affine PDLFs, more recently, promising LMI based conditions using Polynomially Parameter-Dependent Lyapunov Function (PPDLF) have been proposed in [4, 10, 13].

In this paper we pursue the idea of Ebihara *et al* [4], where robust sufficient stability condition for the existence of such PPDLFs in terms of finitely many LMIs evaluated on the vertex of the polytope of continuous LTI system has been developed. The results in the present paper have been obtained by modifying the results of [4], to linear time-invariant discrete-time systems and adding to them the conditions which in the robust controller design ensure guaranteed cost in the D -LMI- region. We use the following notations in this paper. Matrix $P = P^\top > 0$ (< 0) is positive (negative) definite. For a matrix $A \in R^{m \times n}$ with $\text{rank}(A) = r < n$, $A^\perp \in R^{n \times (n-r)}$ is a matrix such that $AA^\perp = 0$ and $(A^\perp)^\top A^\perp > 0$. R_+ denotes the set of nonnegative integers. C denotes the complex plain. $He\{AB\} = AB + B^\top A^\top$.

2 PROBLEM FORMULATION AND PRELIMINARIES

We consider the following affine linear time-invariant uncertain systems

$$\begin{aligned} \delta x(t) &= A(\theta)x(t) + B(\theta)u(t), \\ y(t) &= C(\theta)x(t), \quad x(0) = x_0 \end{aligned} \quad (1)$$

where $x(t) \in R^n$ is the plant state; $u(t) \in R^m$ is the control input; $y(t) \in R^l$ is the output vector of the system; $A(\theta), B(\theta), C(\theta)$ are matrices of appropriate dimensions and

$$\begin{aligned} A(\theta) &= A_0 + A_1\theta_1 + \dots + A_s\theta_s, \\ B(\theta) &= B_0 + B_1\theta_1 + \dots + B_s\theta_s, \\ C(\theta) &= C_0 + C_1\theta_1 + \dots + C_s\theta_s \end{aligned} \quad (2)$$

where $\theta = [\theta_1 \dots \theta_s] \in R^s$ is a vector of uncertain and time-invariant real parameters with known lower and upper bounds. $\delta x(t)$ denotes the derivative operator for continuous time system or forward difference operator for discrete time system.

Note that, in order to keep the polytope affine property, either matrix $B(\theta)$ or $C(\theta)$ must be precisely known. In the following we assume that $C(\theta)$ is known and equal to matrix C . The following performance index is associated with system (1)

- continuous-time system

$$J = \int_0^\infty (x(t)^\top Qx(t) + u(t)^\top Ru(t))dt, \quad (3)$$

- discrete-time system (with discrete time t)

$$J = \sum_0^\infty (x(t)^\top Qx(t) + u(t)^\top Ru(t)) \quad (4)$$

where $Q = Q^\top \geq 0, R = R^\top > 0$ are matrices of compatible dimensions.

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The problem studied in this paper can be formulated as follows: For a linear time-invariant (LTI) system described by (1) design a static output feedback controller with the gain matrix F and control algorithm

$$u(t) = Fy(t) = FCx(t) \quad (5)$$

so that the closed loop system

$$\delta x = (A(\theta) + B(\theta)FC)x(t) = A_c(\theta)x(t) \quad (6)$$

is PPDQS in D -LMI region with a guaranteed cost.

DEFINITION 1. Consider the system (1). If there exists a control law u^* and a positive scalar J^* such that the closed loop system (6) is stable and the closed loop value cost function (3) or (4) satisfies $J \leq J^*$, then J^* is said to be the guaranteed cost and u^* is said to be the guaranteed cost control law for system (1).

The system represented by (6) is a polytope of linear affine systems which can be described by a list of its vertices

$$\delta x(t) = A_{ci}x(t), \quad i = 1, 2, \dots, N \quad (7)$$

where $N = 2^s$ and

$$A_{ci} = A_{vi} + B_{vi}FC$$

where A_{vi} and B_{vi} are vertices corresponding to (2). The linear uncertain system described by (7) belongs to a convex polytopic set

$$\delta x(t) = A_c(\alpha)x(t) \quad (8)$$

where

$$S = \left\{ A_c(\alpha) : A_c(\alpha) = \sum_{i=1}^N A_{ci}\alpha_i, \sum_{i=1}^N \alpha_i = 1, \alpha_i \geq 0 \right\}.$$

Using the concept of Lyapunov stability it is possible to formulate the following definition and lemma.

DEFINITION 2. System (8) is robustly stable in the uncertainty box (8) if and only if there exists a matrix $P(\alpha) = P(\alpha)^\top > 0$ such that

$$D(\alpha, r) = r_{11}P(\alpha) + r_{12}^*A_c^\top(\alpha)P(\alpha) + r_{12}P(\alpha)A_c(\alpha) + r_{22}A_c^\top(\alpha)P(\alpha)A_c(\alpha) < 0 \quad (9)$$

within a stability region in the complex plane defined as

$$D = \left\{ z \in \mathbb{C} : \begin{bmatrix} 1 \\ z \end{bmatrix}^* \begin{bmatrix} r_{11} & r_{12} \\ r_{12}^* & r_{22} \end{bmatrix} \begin{bmatrix} 1 \\ z \end{bmatrix} < 0 \right\} \quad (10)$$

where the asterisk denotes the transpose conjugate, for all α such that $A_c(\alpha) \in S$.

Stability within region D with PPDLM is denoted as D-PPDQS. Standard choices for D are the left half-plane ($r_{11} = 0, r_{12} = 1, r_{22} = 0$) for continuous time systems or the unit circle ($r_{11} = -1, r_{12} = 0, r_{22} = 1$) for discrete time ones.

LEMMA 1. Consider the LTI system (8) with control algorithm (5). The control algorithm (5) is the guaranteed cost control law for D -LMI region for system (8) if and only if the following condition holds.

$$D(\alpha, r) + Q + C^\top F^\top R F C < 0 \quad (11)$$

According to [11] there is no general and systematic way to formally determine $P(\alpha)$ as a function of $A_c(\alpha)$. Such a matrix $P(\alpha)$ is called the parameter dependent Lyapunov matrix (PDLM) and for a particular structure of $P(\alpha)$ inequality (9) defines the parameter dependent quadratic stability (PDQS). A new formal approach to determine $P(\alpha)$ for real convex polytopic uncertainty can be found in the references, Apkarian *et al* [1], Bachelier *et al* [2], de Oliveira *et al* [11, 12], Peaucelle *et al* [14], Dettori and Scherer [7], Henrion *et al* [9] and references cited therein. In this existing studies, however, the PDLFs employed are restricted to those affine in the uncertain parameters in the form

$$P(\alpha) = \sum_{i=1}^N P_i \alpha_i, \quad \sum_{i=1}^N \alpha_i = 1, \quad (12)$$

$$P_i = P_i^\top > 0, \quad i = 1, 2, \dots, N.$$

To decrease the conservatism of (11) arising from affinely PDLF, more recently, the use of polynomial PDLF has been proposed in different forms. In Ebihara *et al* [4], the following PPDLM has been proposed:

$$P(\alpha) = G(M(\alpha), p)^\top \Pi_p(\alpha) G(M(\alpha), p) \quad (13)$$

Here

$$G(M(\alpha), p) = [I_n M(\alpha)^\top \dots (M(\alpha)^p)^\top]^\top \in R^{(p+1)n \times n},$$

$M(\alpha) \in R^{n \times n}$ is a given affine function of $\alpha \in R^N$, $\Pi_p(\alpha) \in R^{(p+1)n \times (p+1)n}$ is an affine function of α to be determined through design procedure. The parameter $p \in R_+$ is used to determine the degree of the PPDLMs on α . In the present paper for developing the robust controller design procedure the PPDLM in the form of (13) will be used. The following lemma is used in the paper.

LEMMA 2 (Skelton *et al* [16]). Let matrices $E \in R^{n \times n}$ and $D \in R^{m \times n}$ be given such that $\text{rank}(D) < n$. Then, the following conditions are equivalent:

- The condition $(D^\perp)^\top E D^\perp < 0$ (14)

holds.

- There exists $\mu_1 \in R$ such that $E - \mu D^\top D < 0$ holds for all $\mu \geq \mu_1$.
- There exists $Z \in R^{n \times m}$ such that

$$E + He\{ZD\} < 0. \quad (15)$$

3 MAIN RESULTS

In this paragraph we present main results to design a static output feedback for polytopic system (8) with control algorithm (5) which ensures guaranteed cost and PPDQS with Lyapunov matrix given by (13) in the D-region. The design procedure is based on Lemma 1) and Lemma 2. Using the idea of Ebihara *et al* [4], the inequality (11) with (13) can be rewritten, equivalently, in the following form:

$$M1^\top \begin{bmatrix} G11 & \Pi_p(\alpha)r_{12} \\ \Pi_p(\alpha)r_{12}^* & \Pi_o(\alpha)r_{22} \end{bmatrix} M1 < 0, \quad (16)$$

$$G11 = G_{n,p}(Q + C^\top F^\top R F C)G_{n,p}^\top + r_{11}\Pi_p(\alpha), \quad (17)$$

where

$$M1 = \begin{bmatrix} G(M(\alpha), p) \\ G(M(\alpha), p)A_c(\alpha) \end{bmatrix}$$

$$G_{n,p} = G(0, p) \in R^{(p+1)n \times n},$$

with

$$G(M(\alpha), p)^\top G_{n,p} = I_n$$

for any $M(\alpha)$ and p . From (16) we see that for the last term the following equality holds (Ebihara *et al* [4]):

$$\begin{bmatrix} A_c(\alpha)G_{n,p} & -G_{n,p} \\ L(M(\alpha), p) & 0 \\ 0 & L(M(\alpha), p) \end{bmatrix} \begin{bmatrix} G(M(\alpha), p) \\ G(M(\alpha), p)A_c(\alpha) \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

if

$$L(M(\alpha), p) = \begin{bmatrix} M(\alpha) - I_n & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & M(\alpha) - I_n \end{bmatrix} =$$

$$[I_p \otimes M(\alpha) \quad 0_{pn,n}] + [0_{pn,n} \quad -I_p \otimes I_n] \in$$

$$\in R^{pn \times (p+1)n}, \quad (18)$$

that is

$$\begin{bmatrix} G(M(\alpha), p) \\ G(M(\alpha), p)A_c(\alpha) \end{bmatrix} = \begin{bmatrix} A_c(\alpha)G_{n,p} & -G_{n,p} \\ L(M(\alpha), p) & 0 \\ 0 & L(M(\alpha), p) \end{bmatrix}^\perp. \quad (19)$$

Due to Lemma 2 the condition (16) can be rewritten, equivalently, in the following form

$$\begin{bmatrix} G_{n,p}(Q + C^\top F^\top R F C)G_{n,p}^\top + r_{11}\Pi_p(\alpha) & \Pi_p(\alpha)r_{12} \\ \Pi_p(\alpha)r_{12}^* & \Pi_o(\alpha)r_{22} \end{bmatrix}$$

$$+ He \left\{ Z \begin{bmatrix} A_c(\alpha)G_{n,p} & -G_{n,p} \\ L(M(\alpha), p) & 0 \\ 0 & L(M(\alpha), p) \end{bmatrix} \right\} < 0 \quad (20)$$

where $Z \in R^{2(p+1)n \times (2p+1)n}$ is any matrix which satisfies (20). The results in (16) clearly indicate that (11) is given in the form of first statement of Lemma 2. Because first statement of Lemma 2 is equivalent to third statement, the inequality (16) is equivalent to (20), which proves the following theorem.

THEOREM 1. Consider the system (8) with control algorithm (5). The control algorithm (5) is guaranteed cost control law in D-region if there exist matrices F , Z and $M(\alpha)$ such that for a given $p \in R_+$ and matrix $M(\alpha)$ the inequality (20) holds. For the closed-loop system (6) the PPDQS is guaranteed.

Note that equations (11) and (20) are equivalent for any $P(\alpha)$ but for concrete $P(\alpha)$ they determined only a sufficient robust stability conditions and guaranteed cost in D-region.

4 ROBUST CONTROLLER DESIGN: CASE $p = 1$

In the preceding section we have derived PPDLM condition (20) by means of the Finslers' Lemma (Lemma 2). This strategy was introduced in Ebihara *et al* [4], to obtain numerically verifiable PPDQS condition for robust stability of polytopic uncertain LTI systems. In this section we present new procedure to design a static output feedback controller for continuous and discrete-time systems. The design procedure is based on (20). By solving the LMIs (20) we can compute the boundary of robust stability with respect to maximal value of uncertainty $\bar{\theta}_i = \underline{\theta}_i = \theta_m$, $i = 1, 2, \dots, s$. Results from Ebihara *et al* [4], that for $p_2 > p_1$ one obtain the maximal value of uncertainty $\theta_{m2} \geq \theta_{m1}$ that is increasing the degree of $G(M(\alpha), p)$, p the robust stability sufficient condition approaches to necessary one. For the case of $p = 1$, from (20) we obtain the following results. When the first term of (20) is in the form

$$\Pi_p(\alpha) = \begin{bmatrix} \sum_{i=1}^N P_{i,1,1}\alpha_i & \sum_{i=1}^N P_{i,1,2}\alpha_i \\ \sum_{i=1}^N P_{i,1,2}^\top\alpha_i & \sum_{i=1}^N P_{i,2,2}\alpha_i \end{bmatrix}$$

one obtain the following symmetric matrix:

$$Q_0 = \{q_{ijk}\}_{4 \times 4} \quad i = 1, 2, \dots, N, \quad j, k = 1, 2, 3, 4$$

with $q_{ijk} = q_{ikj}$ where

$$q_{i11} = Q + C^\top F^\top R F C + r_{11} \sum_{i=1}^N P_{i,1,1}\alpha_i,$$

$$q_{i12} = r_{11} \sum_{i=1}^N P_{i,1,2}\alpha_i, \quad q_{i13} = r_{12} \sum_{i=1}^N P_{i,1,1}\alpha_i,$$

$$q_{i14} = r_{12} \sum_{i=1}^N P_{i,1,2}\alpha_i, \quad q_{i22} = r_{11} \sum_{i=1}^N P_{i,2,2}\alpha_i,$$

$$q_{i23} = r_{12} \sum_{i=1}^N P_{i,1,2}\alpha_i, \quad q_{i24} = r_{12} \sum_{i=1}^N P_{i,2,2}\alpha_i,$$

$$q_{i33} = r_{22} \sum_{i=1}^N P_{i,11}\alpha_i, \quad q_{i34} = r_{22} \sum_{i=1}^N P_{i,1,2}\alpha_i,$$

$$q_{i44} = r_{22} \sum_{i=1}^N P_{i,22}\alpha_i.$$

For $Z = \{Z_{jk}\}_{4n \times 3n}$ with $Z_{jk} \in R^{n \times n}$ and denoting $M(\alpha) = M, A_c(\alpha) = A_c$ for the last term of (20) one obtains:

$$He\{ZK\} = \{k_{jk}\}_{4n \times 4n}$$

where

$$\begin{aligned} k_{11} &= Z_{11}A_c + Z_{12}M + M^\top Z_{12}^\top + A_c^\top Z_{11}^\top, \\ k_{12} &= -Z_{12} + A_c^\top Z_{21}^\top + M^\top Z_{22}^\top, \\ k_{13} &= -Z_{11} + Z_{13}M + A_c^\top Z_{31}^\top + M^\top Z_{32}^\top, \\ k_{14} &= -Z_{13} + A_c^\top Z_{41}^\top + M^\top Z_{42}^\top, \\ k_{22} &= -Z_{22} - Z_{22}^\top, \\ k_{23} &= -Z_{21} + Z_{23}M - Z_{32}^\top, \\ k_{24} &= -Z_{23} - Z_{24}^\top, \\ k_{33} &= -Z_{31} - Z_{31}^\top + Z_{33}M + M^\top Z_{33}^\top, \\ k_{34} &= -Z_{33} - Z_{41}^\top + M^\top Z_{43}^\top, \\ k_{44} &= -Z_{43} - Z_{43}^\top. \end{aligned}$$

With above two auxiliary matrices inequality (20) can be rewritten in the LMI form

$$Q_0 + He\{ZK\} < 0 \tag{21}$$

Inequality (21) implies:

- for a given $M(\alpha)$ and robust output feedback controller design it is a bilinear matrix inequality,
- with respect to $\alpha_i, i = 1, 2, \dots, N$ (21) is linear, therefore it (21) can be rewritten for each vertex $i = 1, 2, \dots, N$ that is from (21) one obtains N inequalities.
- for robust stability analysis (A_{ci}, M_i are given) (21) represented N LMI.

If one use the argument of Henrion *et al* [9] and substitute for $Z_{21} = Z_{31} = Z_{41} = I_n$ to (21) for robust controller design a bilinear matrix inequalities can be obtained where only $\{1, 1\}$ entry is non-convex. For this entry a linearization approach can be adopted and finally the inequality (21) for $i = 1, 2, \dots, N$ reduces to LMI. If there exists a feasible solution of (21) with respect to gain matrix F , matrices $P_{i,j,k}, Z_{11}$ and $Z_{ij}, i = 1, 2, 3, 4, j = 2, 3$ then the closed-loop system is PPDQS with guaranteed cost in D -region.

5 EXAMPLES

In this section we present the results of numerical calculations of two examples to design a static output feedback controller with a guaranteed cost. Design procedure based on LMI inequalities (21).

EXAMPLE 1. The first example has been borrowed from Benton and Smith, [16]. It concerns the design of a robust controller with a guaranteed cost for stabilizing the

lateral axis dynamics for an aircraft L-1011. Let matrices $(A(\theta), B(\theta), C)$ be defined as

$$A(\theta) = \begin{bmatrix} -2.98 & q_1(t) & 0 & -0.0340 \\ -0.9900 & -0.2100 & 0.0350 & -0.0011 \\ 0 & 0 & 0 & 1 \\ 0.3900 & -5.555 & 0 & -1.890 \end{bmatrix},$$

$$B(\theta) = [-0.0320 \ 0 \ 0 \ -1.600], \quad C = \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}.$$

with parameter bound $-0.5700 \leq q_1(t) \leq 2.4300$ for all time. The above model has been recalculated for the case of $-1 \leq \theta \leq 1$ and $A_0 = A(\theta)$ with of $A_0(1, 2) = .930$ and $A_1 = 0$ with $A_1(1, 2) = 1.50$. Input matrices are $B_0 = B(\theta)$ and $B_1 = 0$. Two vertices are calculated. The results of calculations are summarized in Table 1. $r_0 = 150, r = 1, q = 0.0001$

Table 1. The results of calculation for example 1.

Method	θ_m	maxEig
PPDLM	1.16	-.0541
PDLM	1.3	-.2203

The gain matrices F for the first and second approaches and $|\theta| = 1$ are:

$$\begin{aligned} \text{PPDLM:} \quad & F = [0.8868 \ 14.7492], \\ \text{PDLM:} \quad & F = [1.4075 \ 2.6673]. \end{aligned}$$

In Table 1 and the next table θ_m is the absolute value of the maximum uncertainty level while maintaining closed-loop stability and maxEig is the maximum closed-loop eigenvalue when $|\theta_1| = |\theta_2| = |\theta| = 1$. Design procedure PDLM is calculated for Ljapunov matrix given by (11), the obtain result valid only with necessarily stability conditions.

EXAMPLE 2. The second linear discrete time example has been borrowed from [15]. Consider uncertain system (1) with matrices

$$A_0 = \begin{bmatrix} .7118 & .0736 & .1262 \\ .7200 & .6462 & 2.3432 \\ 0 & 0 & .6388 \end{bmatrix}, \quad B_0 = \begin{bmatrix} .0122 & .0412 \\ .3548 & .1230 \\ .2015 & .2301 \end{bmatrix},$$

$$C = C_0 = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad A_1 = \begin{bmatrix} .08 & .006 & .01 \\ .07 & .03 & .1 \\ 0 & 0 & .03 \end{bmatrix},$$

$$B_1 = \begin{bmatrix} 0 & .001 \\ .012 & 0 \\ .007 & .2301 \end{bmatrix}, \quad A_2 = \begin{bmatrix} 0 & .004 & 0 \\ .1 & 0.0 & .12 \\ 0 & 0 & 0 \end{bmatrix},$$

$$B_2^\top = \begin{bmatrix} 0 & 0.02 & .014 \\ 0.0 & 0 & .02 \end{bmatrix}$$

with $-1 \leq \theta_i \leq 1, i = 1, 2$. The results of the robust output feedback controller design are summarized in Table 2 $r_0 = 150, r = 1, q = 0.0001$

Table 2. The results of calculation for Example 2.

Method	θ_m	maxEig
PPDLM	4.2	.8312

The gain matrix F for the PPDLM approach and $|\theta| = 1$ is:

$$\text{PPDLM: } F = \begin{bmatrix} -3.0244 & -7.1593 \\ 1.3248 & 2.498 \end{bmatrix}.$$

6 CONCLUSION

In this paper, we have proposed a new procedure for the robust output feedback controller design for a polytopic uncertain system with a polynomially parameter dependent Lyapunov function. The feasible solutions of the output feedback controller design provide sufficient conditions guaranteeing PPDQS with a guaranteed cost in D -region. It should be noted that the above proposed procedure is under research and there are many questions rather open than closed. The examples show the effectiveness of the proposed method.

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