

# ELECTRON BEAM LITHOGRAPHY DOUBLE STEP EXPOSURE TECHNIQUE FOR FABRICATION OF MUSHROOM-LIKE PROFILE IN BILAYER RESIST SYSTEM

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The Hi/Lo bilayer resist system exposure in e-beam lithography (EBL) process, intended for mushroom-like profile fabrication, was studied. Different exposure parameters and their influence on the resist layers were simulated in CASINO software and the obtained results were compared with the experimental data. The AFM technique was used for the estimation of the e-beam penetration depth in the resist stack. Performed numerical and experimental results allow us to establish the useful ranges of the exposure parameters.

**Keywords:** T-shape resist profile, mushroom-like resist profile, bilayer resist system, resist exposure model, MC simulations, e-beam lithography, double step exposure

## 1 INTRODUCTION

The conventional bilayer resist system in configuration Lo/Hi is widely used for metal lift-off process in micro- and nano-technology. This approach provides good quality of uncomplicated spatial geometry metallic structures fabrication with relatively simple process requirements. Metallic structures with complicated 3D geometry, like T-gate or  $\Gamma$ -gate applied in HEMT transistors, require at least two resist layers with inverse configuration — Hi/Lo, [1–3]. Special preparation and inspection techniques are needed due to the complexity of this approach.

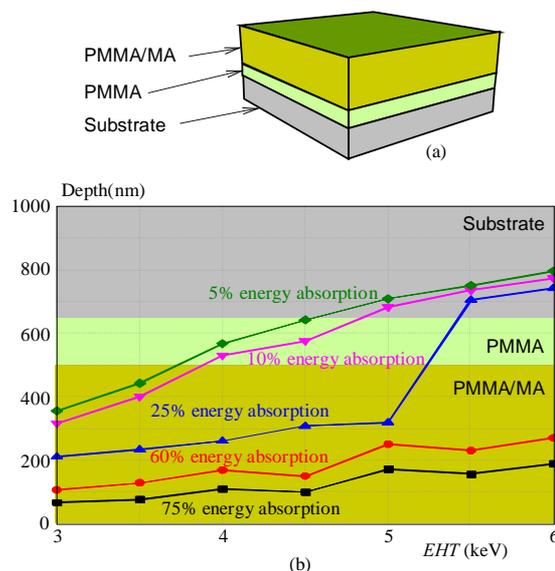
## 2 THEORETICAL PART

Analysis of the electron beam influence on the resist stack is essential part of designing the EBL process, especially for structures with complicated geometry, [4, 5]. Therefore Monte Carlo simulation, assisted by the CASINO software, was the first step of the research on the T-gate structures fabrication for HEMT transistors.

### 2.1 Hi/Lo bilayer resist system

The well-known resist system for mushroom-shaped profiles, intended for high frequency HEMTs, consists of two materials with different properties. Hi/Lo configuration responds to the sensitivity of the used stack, where the top layer needs a much lower dose-to-clean than the bottom layer, Fig. 1. The energy absorbed in the resist layer strongly affects the solubility of this material during the developing process and as consequence influences

the geometry and dimensions of the profile. To avoid unintentional developing of the outer parts of the lightly exposed areas, development was done in two different developers appropriate for the specific resists and having also a weak influence on the other polymer. This development separation, for every layer properly, allows making some assumption for MC simulation. The influence of the electron beam on the Hi/Lo resist stack was conducted in two separated steps.



**Fig. 1.** (a) – Scheme of used Hi/Lo bilayer resists system, (b) – Depth of the percentage energy absorption in the resist stack for different EHT

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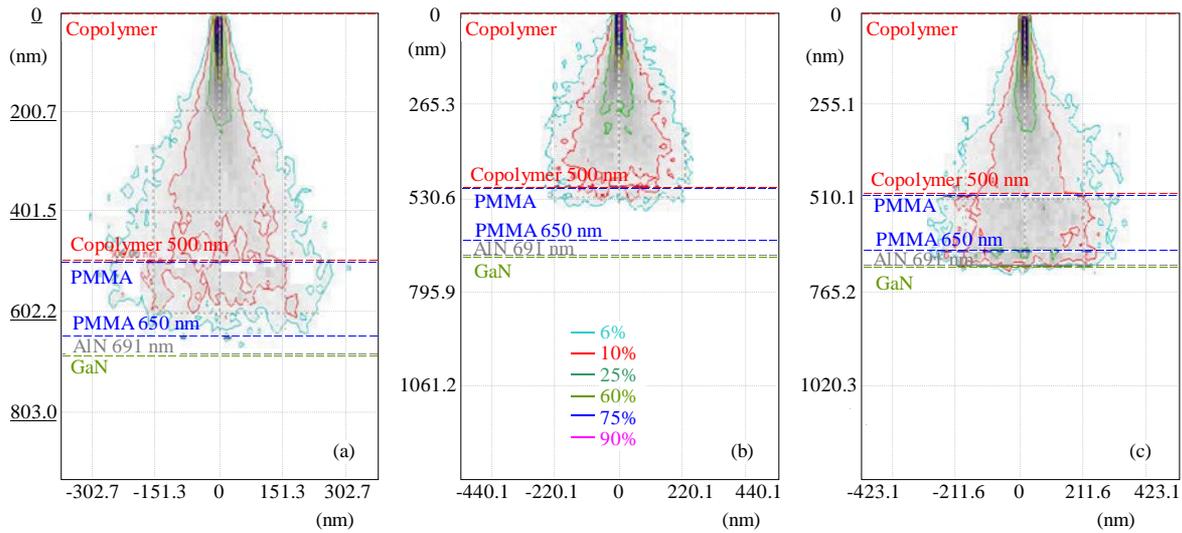


Fig. 2. Distribution of the energy in the resist stack (PMMA/MA + PMMA) for the different acceleration voltage: (a) – 4.0 kV, (b) – 4.5 kV, (c) – 5.0 kV

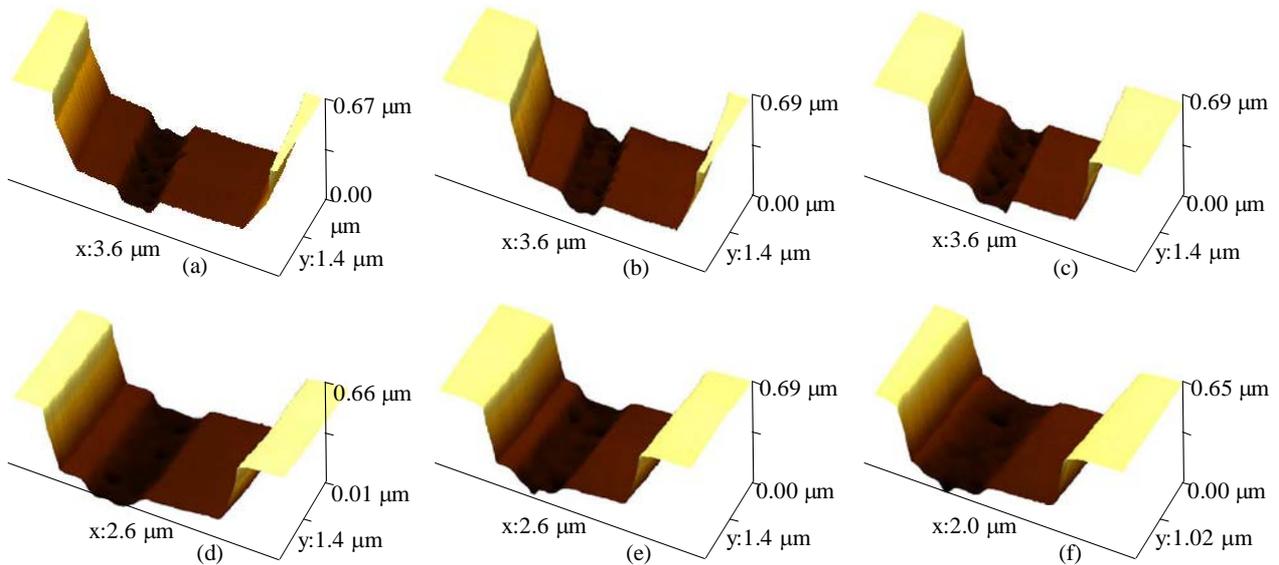


Fig. 3. AFM images for T-shape profiles, designed dimensions: 500 nm in the bottom resist, and in the top film: (a) – 2000 nm, (b) – 1750 nm, (c) – 1500 nm, (d) – 1200 nm, (e) – 1000 nm, (f) – 750 nm. Applied acceleration voltage for the top resist layer: 5.0 kV

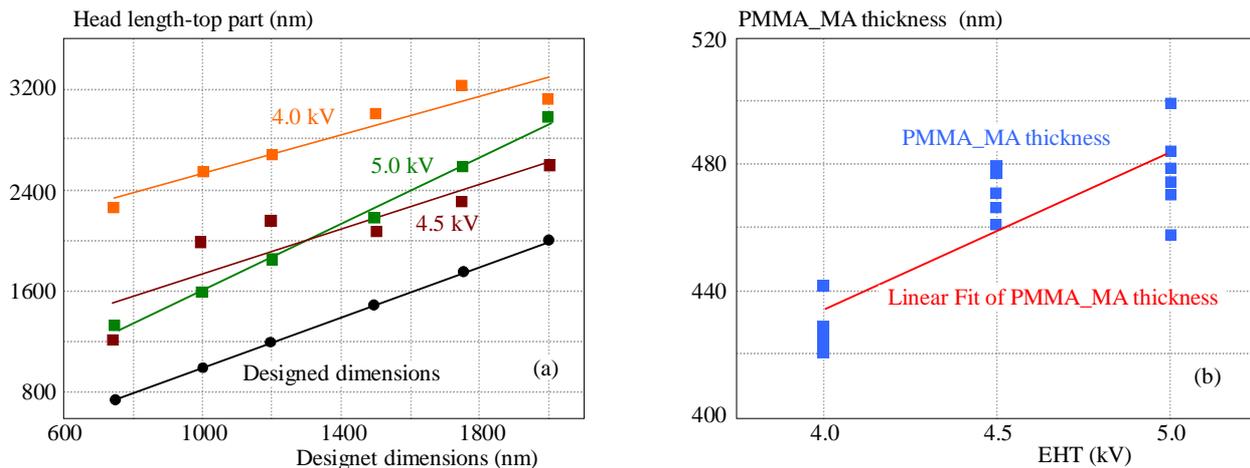
### 2.2 First step of exposure

The aim of the first step of exposition was to specify the proper range of the acceleration voltage in which exposure will be performed only in the top resist layer. Simulations were done for 1961 electrons and for 500 nm of PMMA/MA resist layer (C<sub>5</sub>H<sub>10</sub>O<sub>4</sub>) and 150 nm PMMA resist layer (C<sub>5</sub>H<sub>8</sub>O<sub>2</sub>). The results of simulations for the EHT (Extra High Tension — extremely high electrical voltage) range of 3.0 kV – 6.0 kV are presented in Fig. 1(b). Calculations show the extent of broadening of the pattern dimensions (the proximity effect) due to low EHT application, Fig. 2.

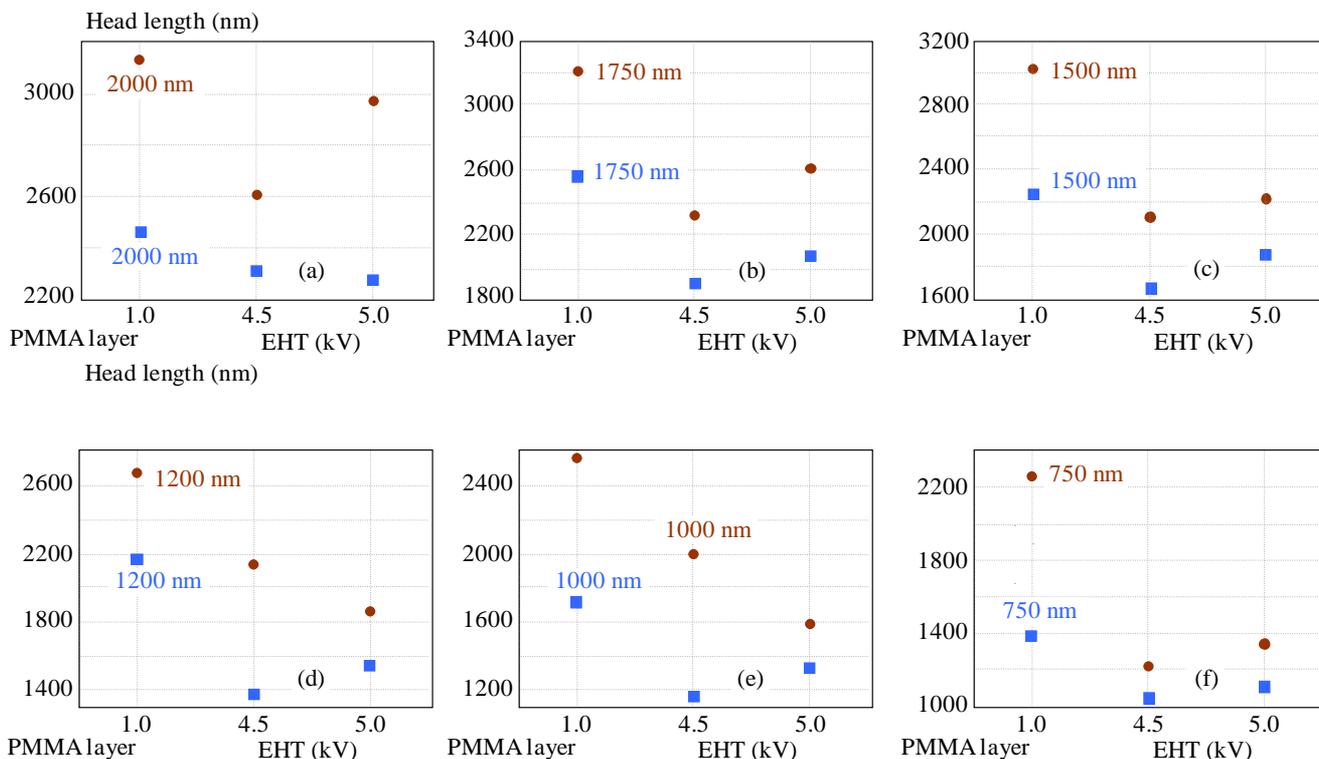
### 2.3 Second step of exposure

The second part of simulations was less demanding. The EHT should be high enough to guarantee a clear window and almost perpendicular shape (light slope) with respect to the substrate. Based on the double step development, simulation was conducted only for PMMA layer.

Analysis of the T-shape profile obtained in Hi/Lo resist stack does not include any model of the process of development based on the absorbed range of energy in the polymer and its influence on the solubility rate. However, authors are aware of the importance of this calculation in designing the process and plan a further research on T-gate fabrication.



**Fig. 4.** Results of AFM measurements for T-shape profiles in the resist stack: (a) – length of the top part of the mushroom-like profile (“head”) in the PMMA/MA layer for different applied EHT values during the first exposure, (b) – electron beam penetration depth in the PMMA/MA layer for different applied EHT values during the first exposure



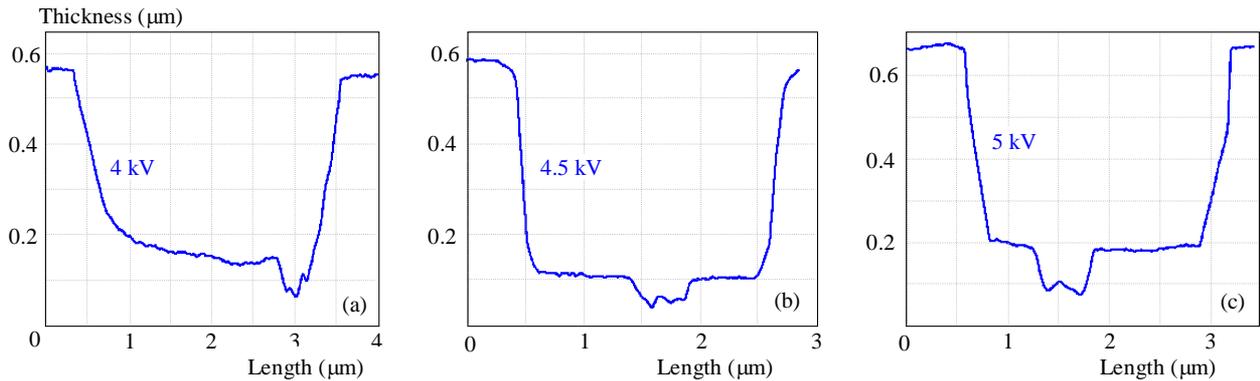
**Fig. 5.** Results of AFM measurements for the “head” part of the T-shape profile in PMMA/MA resist layer for different applied EHT values during the first exposure — circles — top part of the “head”, squares — bottom part of the “head”. Designed dimensions: (a) – 2000 nm, (b) – 1750 nm, (c) – 1500 nm, (d) – 1200 nm, (e) – 1000 nm, (f) – 750 nm

### 3 EXPERIMENTAL PART

For all conducted experiments a stack of positive resists was used: PMMA 950 k resist layer and PMMA/MA resist layer on AlGaIn/AlN/GaN substrates, Fig. 1a. To provide good adhesion of the resist films to the substrate, adhesion primer was used. The thickness of the resulted

layers was similar to those applied in the simulated model in CASINO software.

E-beam exposures were conducted in the Raith PIO-NEER system. The exposure process was divided into two single steps, separated and terminated by the developing stage of processed film. Parameters used in the experiments are shown in Table 1.



**Fig. 6.** Results of the AFM measurements of the averaged T-shape profiles in resist stack for different applied EHT values during the first exposure designed dimensions: 1750 nm / 500 nm; (a) — 4.0 kV, (b) — 4.5 kV, (c) — 5.0 kV.

**Table 1.** Double step exposure parameters

Process	Layer	RHT (kV)	Area Dose ( $\mu\text{C}/\text{cm}^2$ )	Developer
I step	PMMA/MA	4.0; 4.5; 5.0	25	AR 600-50
II step	PMMA	25	100	AR 600-56

The aim of the first exposure was to create the top part of the profile — “head” — in the PMMA/MA layer without influencing the bottom resist layer. According to the simulation results, EHT was used in the range of: 4.0 kV to 5.0 kV.

The second exposure provides the formation of the bottom part of the profile — “leg” — in the PMMA layer. Exposure should take place in only the PMMA layer.

T-shape profile geometry structures were designed with dimensions as follow: bottom part of the profile — constant width for all structures — 500 nm; top part of the profile — in the range from 750 nm to 2000 nm with 250 nm steps.

#### 4 DISCUSSION

Fabricated structures were examined by AFM microscope using high aspect ratio AFM tips. The measured AFM images were obtained by surface reconstruction. Fabricated T-shape profiles with designed dimensions 750 nm to 2000 nm/500 nm and EHT = 5.0 kV for the first exposure are presented in Fig. 3.

Big discrepancies were observed between the design and created geometry dimensions of the fabricated structures in the resist stack for all conducted EHT values, Fig. 4(a). Black circles present the designed dimensions of the “head” part of the profile. The coloured points present the measured length of the fabricated “head” just under the PMMA/MA surface. For the lowest EHT values — blue squares — the dimensions are much wider than were planned. Application of higher EHT values results in smaller disproportion between the design and experiments. The observed effects are a consequence of the

nature of the e-beam impact in the solid — the measurements confirm our assumption and show the extent of this effect in the resist stack.

AFM measurements of the PMMA/MA layer thickness after the first step of process show, according to the simulation results, that the increase in EHT during the first exposure strongly influences the e-beam penetration depth, Fig. 4(b). This confirms the correctness of the initial process assumptions.

There is also a big difference in the dimensions on each profile in PMMA/MA layer between the bottom and top part of the “head” of the profile, Fig. 5.

Analysis of the profiles for different EHT values applied in the first exposures suggested also application of higher acceleration voltages, due to the incorrect profiles geometry, Fig. 6. Low EHT values negatively influence the bottom profile — the first window is not truly “clean” and acts as a mask during the second step of development. The profile of the “leg” is not properly defined, especially for 4.0 kV, Fig. 6(a).

#### 5 CONCLUSIONS

Preliminary studies were presented of T-shape profile fabrication in a bilayer resist system intended for HEMT metallic mushroom gate lift-off process. Numerical analysis of the influence of the electron beam on the Hi/Lo resist stack in a double step exposure method was conducted and compared with experiments. The obtained results showed the importance of MC simulation of the exposure process of EBL and need to be complemented by analyses of the development model and simulations.

For further verification, the fabricated structures will be measured by SEM. The cross-section of the fabricated profiles will be examined, especially to confirm the profile geometry due to the limitation of the AFM technique. This will allow verification of the usefulness of the structures for metallic T-gate HEMT lift-off process.

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